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### Original article

### Serum ferritin at admission in hospitalized COVID-19 patients as a predictor of mortality

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### ABSTRACT

*Introduction:* Some COVID-19 patients have higher mortality and the responsible factors for this unfavorable outcome is still not well understood.

*Objective*: To study the association between ferritin levels at admission, representing an inflammatory state, and hospital mortality in COVID-19 patients.

*Methods*: From May through July 2020, SARS-CoV-2 positive patients with moderate to severe clinical symptoms were evaluated at admission, regarding clinical and laboratory data on renal and hepatic function, hematologic parameters, cytomegalovirus co-infection, and acute phase proteins.

Results: A total of 97 patients were included; mean age =  $59.9 \pm 16.3$  years, 58.8% male, 57.7% non-white, in-hospital mortality = 45.4%. Age, ferritin, C-reactive protein, serum albumin and creatinine were significantly associated with mortality. Ferritin showed area under the curve (AUC) of 0.79 (p < 0.001) for the cut-off of 1873.0 ng/mL, sensitivity of 68.4% and specificity of 79.3% in predicting in-hospital mortality. Age  $\geq 60$  years had an odds ratio (OR) of 10.5 (95% CI = 1.8-59.5; p = 0.008) and ferritin  $\geq 1873.0$  ng/mL had an OR of 6.0 (95% CI = 1.4-26.2; p = 0.016), both independently associated with mortality based on logistic regression analysis.

*Conclusion:* The magnitude of inflammation present at admission of COVID-19 patients, represented by high ferritin levels, is independently predictive of in-hospital mortality.

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### Introduction

It is known that some COVID-19 patients have poor outcomes
and the factors responsible for determining these unfavorable
evolution are still unsuccessfully understood. However, age
and some comorbidities such as diabetes and hypertension
were the first conditions thought to be risk factors for severe
COVID-19.<sup>1</sup>

Since SARS CoV-2 is a novel virus causing infection in 33 humans, there is substantial uncertainty about how early clin-34 ical or laboratory findings could be related to infectivity and 35 disease severity, besides how these parameters could con-36 tribute to increase mortality. In this context, studies have 37 shown that the exacerbated inflammatory response (cytokine 38 storm) can directly impair organ function in COVID-19 patients 39 with moderate to severe disease, leading to decompensation, 40 organ dysfunction and death.<sup>2</sup> 41

In this report, we aimed to study the relationship between 42 serum ferritin levels, measured at the moment of hospi-43 talization, and general mortality among COVID-19 patients 44 admitted to a high complexity university hospital. In addi-45 tion, we also investigated if there is a ferritin cut-off value 46 that could was predictive of death as the final outcome, which 47 could be very useful in clinical practice for following up mod-48 erate to severe COVID-19 cases. 40

### Material and methods

#### 50 Study design

51 We retrospectively studied patients diagnosed with SARS-CoV-2 infection during the period of May through July 2020. 52 This study focused on the analysis of common determinants 53 and laboratory results obtained in the first days following 54 hospital admission which could be associated with clinical 55 outcomes (survival or death). In addition, cytomegalovirus 56 (CMV) viral load was investigated since immunocompromised 57 patients receives care at our hospital, where CMV infection 58 could be an important cause of morbidity and mortality. This 59 study was approved by the Ethics Committee of the Universi-60 dade Federal Fluminense (CAAE: 30623520.5.0000.5243). 61

### 62 Patients and data collection

Patients included in this study were admitted to the Hos-63 pital Universitario Antônio Pedro (HUAP - Niterói, Rio de 64 Janeiro, Brazil) during the initial phase of COVID-19 pandemic 65 in Brazil. HUAP is a reference hospital for the Metropolitan 66 67 Region II of Rio de Janeiro State, which encompasses seven municipalities (about two million inhabitants). So, HUAP is 68 the reference center for high complexity cases in this region, 69 which includes cancer, autoimmune disease, heart surgeries, 70 and transplants; being also the current reference treatment 71 center for moderate to severe COVID-19 cases (e.g. persistent 72 cough, fever and respiratory discomfort or drop in oxygen 73 saturation). Included patients had detected RT-PCR for SARS-74 CoV-2 within the first week from the onset of symptoms. 75 Patient data (e.g. sex, ethnicity, age, and comorbidities such as 76 cancer, diabetes, immunosuppressive disorders, cardiovascu-77

lar and chronic kidney diseases) were retrieved from medical charts.

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#### Diagnosis of SARS-CoV-2 infection

During the COVID-19 pandemic, the Multiuser Laboratory for Research Support in Nephrology and Medical Science (LAMAP) located at HUAP has been equipped to perform RNA extraction and RT-PCR to diagnose SARS-CoV-2 infection, operating in accordance with the Brazilian Ministry of Health regulations. Briefly, viral RNA was isolated from nasopharyngeal swabs or tracheal aspirates from subjects with suspected COVID-19 using the QIAamp Viral RNA kit (Catalog no. 52906, QIAGEN, Hilden, Germany) according to the manufacturer's instructions. Subsequently, using the 2019-nCOV RUO Kit (Catalog no. 10006770, Integrated DNA Technologies, Inc - IDT, Iowa, USA) and GoTaq<sup>®</sup> Probe 1-Step RT-qPCR (Catalog no. A6121, Promega Corporation, Wisconsin, USA) the assay was performed in three separate reactions per specimen for each target (N1, N2, and the internal control RNAaseP). Finally, amplification was performed using the 7500 System (Applied Biosystems, ThermoFisher Scientific, California, USA).

### Laboratory tests

Biochemical and hematological parameters were assessed by automated methods using Siemens Dimension RxL MaxR (Siemens, Newark, Delaware, USA), Coulter LH 750R (Beckman Coulter, California, USA) and Sysmex CA-1500 SystemR (Sysmex America Inc., Illinois, USA) equipments. International normalized ratio (INR) was calculated. Leukopenia was defined as a value lower than 4000 leukocytes/mm<sup>3</sup> and lymphopenia as a value lower than 1000 lymphocytes/mm<sup>3</sup>. All the routine tests were performed at the Clinical Pathology Service (HUAP/UFF).

### Statistical analysis

Data are expressed as mean  $\pm$  standard deviation (SD) or n (%). 110 We divided the cases into two groups at the end of hospital-111 ization time: patients who remained alive and patients who 112 had died. For continuous variables, differences between two 113 groups or more were assessed by t test or Mann-Whitney test 114 and ANOVA or Kruskal-Wallis test with the correspondent 115 post-tests, according to the variable distribution. Two-sided 116 chi-square test was used to compare differences between pro-117 portions of categorical variables. The ability of a test to predict 118 the primary clinical outcome or status (hospital discharge vs. 119 death) was evaluated by the area under curve (AUC) after 120 performing a receiver operating characteristic curve (ROC). 121 Subsequently, using cut-offs for a variable, we performed the 122 calculation of positive and negative predictive values. For the 123 stepwise logistic regression, all variables presenting p-value 124 <0.1 in uni-variate analysis were included in the initial binary 125 model considering outcome (survival or death) as the depen-126 dent variable to estimate odds ratios (OR). Data were analyzed 127 using statistical package (SPSS) and p-values were considered 128 significant when <0.05. 129

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### Q5 Table 1 – Demographic and laboratory characteristics of 97 hospitalized SARS-CoV-2 positive patients according to survival status at the end of the study.

Parameters	Total	Hospital discharge	Death	р
Age (years), mean $\pm$ SD (n)	59.9±16.3 (97)	54.3±17.1 (53)	66.7±12.4 (44)	<0.001
Sex, (M/F) % of male	(57/40) 58.8%	(28/25) 52.8%	(29/15) 65.9%	0.219
Time between symptoms – PCR, mean $\pm$ SD (n)	5.3±3.5 (53)	5.1±3.8 (26)	5.5±3.4 (27)	0.740
Cancer-hematology, (Yes/No) % of Yes	(34/36) 48.6%	(14/21) 40.0%	(20/15) 57.1%	0.232
UTI admission, (Yes/No) % of Yes	(40/30) 57.1%	(12/23) 34.3%	(28/7) 80.0%	0.000
Diabetes, (Yes/No) % of Yes	(27/43) 38.6%	(13/22) 37.1%	(14/21) 40.0%	1.000
Immunosuppressed status, (Yes/No) % of Yes	(11/59) 15.7%	(5/30) 14.3%	(6/29) 17.1%	1.000
CVD, (Yes/No) % of Yes	(47/22) 68.1%	(22/12) 64.7%	(25/10) 71.4%	0.611
CKD, (Yes/No) % of Yes	(16/54) 22.9%	(5/30) 14.3%	(11/24) 31.4%	0.153
Nosocomial infection, (Yes/No) % of Yes	(10/87) 10.3%	(3/50) 5.7%	(7/37) 9.1%	0.178
Hemoglobin, mean $\pm$ SD (n)	10.2±2.5 (93)	10.6±2.2 (50)	9.9 ± 2.8 (43)	0.682
Leukopenia, (Yes/No) % of Yes	(16/76) 17.4%	(6/43) 12.2%	(10/33) 23.2%	0.181
Lymphopenia, (Yes/No) % of Yes	(49/42) 53.8%	(23/26) 46,9%	(26/16) 61.9%	0.206
Platelets $\times 10^3$ , mean $\pm$ SD ( <i>n</i> )	237.8±132.1 (93)	241.4±133.4 (50)	233.7 ± 132.0 (43)	0.781
Ferritin, mean $\pm$ SD (n)	2703.4±3305.2 (48)	1717.7 ± 2789.8 (29)	4207.7 ± 3530.3 (19)	<0.05
C-reactive protein, mean $\pm$ SD (n)	15.3±13.2 (85)	8.5±7.9 (44)	22.6±13.9 (41)	< 0.001
Albumin, mean $\pm$ SD (n)	3.1±0.6 (40)	3.29±0.54 (21)	$2.91 \pm 0.60$ (19)	<0.05
AST, mean $\pm$ SD (n)	48.8±65.0 (59)	$38.7\pm30.5$	$59.9 \pm 88.4$	0.236
ALT, mean $\pm$ SD (n)	30.1±31.4 (59)	33.3±39.6 (31)	27.6±19.1 (28)	0.485
Total bilirubin, mean $\pm$ SD (n)	$1.0 \pm 1.8$ (44)	0.73±1.09 (26)	$1.28 \pm 2.49$ (18)	0.394
LDH, mean $\pm$ SD (n)	449.0±862.3 (45)	508.0±1155.1 (25)	375.2±161.8 (20)	0.575
D dimer, mean $\pm$ SD (n)	2698.4±2497.0 (70)	2428.6±2808.6 (37)	3000.9 ± 2095.6 (33)	0.334
Creatinine, mean $\pm$ SD (n)	1.8 ± 1.9 (89)	1.44 ± 1.81 (46)	2.26 ± 1.91 (43)	<0.05
qPCR CMV, (pos/neg) % of positives	(6/57) 9.5%	(4/26) 13.3%	(2/31) 6.1%	0.412

For continuous variables, we used two tailed Mann–Whitney tests. For categorical variables, the two-sided Chi-square tests, and we show the exact number of events (yes/no) by parameter in each line.

Abbreviations: n, number; SD, standard deviation; M, male; F, female; AST, aspartate aminotransferase; ALT, alanine aminotransferase; LDH, lactate dehydrogenase; qPCR CMV, real time polymerase chain reaction.

### Results

During the months of May through July 2020, we received 130 respiratory tract samples of hospitalized patients to assess 131 COVID-19 suspected cases. A total of 97 SARS-CoV-2 positive 132 patients were diagnosed. The overall mean age  $(\pm SD)$  was 133  $59.9 \pm 16.3$  years, 57/97 (58.8%) were male; 42.3% self-declared 134 to be white, 9.3% black, 23.7% brown, 1.0% Asian, and that 135 was no information for 23.7%. According to clinical severity, 136 patients were admitted at the emergence room, infectious dis-137 ease unit or intensive care unit. General symptoms presented 138 at the time of hospital admission were mainly fever, cough, 139 sore throat, sneezing, loss of taste, and eventually diarrhea or 140 abdominal pain. In general, prostration and prominent dys-141 pnea with O<sub>2</sub> saturation <95% associated to a ground glass 142 143 pattern on thoracic tomography (>50%) were also frequently observed (cases were moderate to severe), constituting a typ-144 ical presentation of hospitalized cases in our center. Overall, 145 44 (45.4%) patients died due to COVID-19. Biochemistry tests 146 were performed within the first 24-48 h of hospitalization. We 147 carefully checked the exact day of respiratory samples collec-148 tion for SARS-CoV-2 detection and the day of symptoms onset. 149 In our institution we have a CMV screening routine for labo-150 ratory monitoring in immunosuppressive patients and these 151 152 results were also included, but with no statistical significance. As shown in Table 1, the first part of the evaluation was 153 a univariate analysis comparing the two groups (survival 154 vs. death). Overall, there were statistical differences in age 155

(p < 0.001), serum levels of ferritin (p < 0.05), C-reactive protein (p < 0.001), albumin (p < 0.05), and creatinine (p < 0.05). In addition, admission to intensive care unit (ICU) was also significantly associated (p < 0.0001). Importantly, serum ferritin levels at admission were not statically different between patients with and without immunosuppressive conditions  $(2661 \pm 3188 \text{ ng/mL vs. } 3028 \pm 3644 \text{ ng/mL, respectively; } p = 0.9)$ .

We also performed an analysis of demographic, clinical and laboratory parameters of COVID-19 hospitalized patients according to quartiles of serum ferritin obtained at admission. Patients with the highest ferritin levels (Q4 – ferritin of 3345–14,660 ng/mL) also presented significant higher levels of C-reactive protein (p < 0.005) and serum creatinine (p = 0.04). These patients also had significantly lower levels of hemoglobin (p = 0.03) and serum albumin (p = 0.04). Finally, we observed an increased frequency of death by COVID-19 in the highest ferritin quartile (p = 0.003), where 75% of patients in the highest quartile evolved to death. These data are presented in Table 2. Of note, we performed the analysis of other clinical parameters such as higher frequency of comorbidities according to quartiles of ferritin, but no significant differences were observed (data not shown).

Next, to further test the relationship between each evaluated variable and mortality, we performed a series of ROC curves analyses as seen in Table 1. For non-significant variables (as LDH, neutrophil and lymphocytes count, for example, the ROC curves had low AUC values and a *p*-value less than 0.05). For the variables with a significant *p*-value, (as age, ferritin, C-reactive protein, serum albumin and creatinine), we 156

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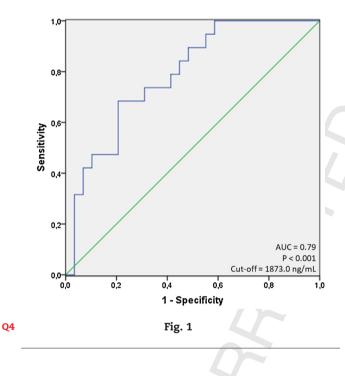
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Parameters	Q1 (108–463.8)	Q2 (463.9–1425)	Q3 (1426–3344)	Q4 (3345–14,660)	p-Value
Age, mean $\pm$ SD	$51.42\pm20.16$	$63.33 \pm 14.12$	$60.00\pm15.82$	$66.50\pm 6.346$	0.09
Death, %	0	42%	42%	75%	0.003
Hemoglobin, mean $\pm$ SD	$10.61 \pm 2.178$	$10.86 \pm 2.107$	$10.34 \pm 2.909$	$\textbf{8.092} \pm \textbf{1.962}$	<b>0.03</b> <sup>b</sup>
Leukopenia, %	25%	17%	17%	33%	0.7
Lymphopenia, %	33%	42%	58%	50%	0.6
Platelets, mean $\pm$ SD	$252.6\pm155.1$	$257.3 \pm 112.1$	$262.0\pm104.2$	$186.0\pm151.6$	0.1
C-reactive protein, mean $\pm$ SD	$9.581 \pm 9.920$	$9.854 \pm 9.745$	$10.44 \pm 6.447$	$23.78\pm9.402$	<0.005 <sup>a,b,c</sup>
Albumin, mean $\pm$ SD	$3.57\pm0.53$	$3.04 \pm 0.78$	$3.4\pm0.38$	$2.58\pm0.41$	0.04 <sup>a</sup>
AST, mean $\pm$ SD	$26.71 \pm 21.08$	$42.50 \pm 21.69$	$31.50\pm17.25$	$91.73 \pm 135.5$	0.08
ALT, mean $\pm$ SD	$24.14\pm25.23$	$33.08\pm30.94$	$22.88\pm13.13$	$37.82\pm31.73$	0.7
Total bilirubin, mean $\pm$ SD	$0.37\pm0.37$	$0.43 \pm 0.29$	$1.39 \pm 1.66$	$2.04\pm3.98$	0.2
LDH, mean $\pm$ SD	$258.8\pm103.4$	$337.2 \pm 163.9$	$309.6 \pm 112.8$	$1157\pm2158$	0.5
D-dimer, mean $\pm$ SD	$1628\pm1484$	$2072\pm2065$	$2369 \pm 1798$	$3713\pm2629$	0.1
Creatinine, mean $\pm$ SD	$0.85\pm0.65$	$1.5 \pm 1.1$	$1.2 \pm 1.5$	$3.3 \pm 3.4$	0.004 <sup>a</sup>

For continuous variables, we used ANOVA or Kruskal–Wallis test with Bonferroni's or Dunn's post-tests, respectively (a, Q1 vs. Q4; b, Q2 vs. Q4; c, Q3 vs. Q4). For categorical variables, two-sided chi-square test was performed. *Abbreviations*: AST, aspartate aminotransferase; ALT, alanine aminotransferase; LDH, lactate dehydrogenase.



identified that only age and ferritin presented a value of AUC
greater than 0.7 (0.72 and 0.79; respectively) using cut-offs of
60.0 years for age, and 1873.0 ng/mL for ferritin, respectively.
Using this cut-off for ferritin, we observed sensitivity of 68.4%
and specificity of 79.3%. Fig. 1 shows the display of ROC curve
for ferritin.

Lastly, we performed a logistic regression model for the 191 analysis of age and serum ferritin as independent predictors of 192 mortality. In this regard, based on a p < 0.1 in univariate anal-193 ysis seen in Table 1, the following variables were included in 194 a step-wise logistic regression model: age, ferritin, C-reactive 195 protein, serum albumin, and creatinine. In the final model, 196 only age  $\geq$  60.0 years-old (OR: 10.5; 95% CI = 1.8–59.5; p = 0.008) 197 198 and ferritin  $\geq$  1873.0 ng/mL (OR: 6.0; 95% CI: 1.4–26.2; p = 0.016) remained independently associated with mortality. Table 3 199 shows the final logistic regression model. 200

### Discussion

In December 2019, a new coronavirus was identified as responsible for cases of severe pneumonia, which was later called SARS-CoV-2.<sup>3</sup> The virus spread quickly worldwide and subsequently, the World Health Organization (WHO) declared its associated disease, COVID-19, as an international public health emergency.<sup>4</sup> Genome sequencing of this virus was carried out<sup>5</sup> and led to the development of specific diagnostic tests based on reverse transcription real time-PCR (RT-qPCR).<sup>6</sup>

The acute phase reaction of an inflammatory process consists of a series of physiological and metabolic changes that begin immediately after tissue injury.<sup>7</sup> Among the numerous systemic manifestations of this acute phase reaction is the variation in the concentrations of various plasma proteins, which are called "acute phase proteins". Among these, the best known in clinical practice are C-reactive protein, amyloid substance serum A, haptoglobin, fibrinogen and ferritin.<sup>8</sup> Serum ferritin has been long studied as a marker of iron metabolism,<sup>9</sup> however, its application as biomarker of inflammation has far presented high importance in the context of COVID-19 progression, as demonstrated by previous studies in the field.<sup>10</sup> Ferritin is an acute phase reactant, and as such, is generally elevated in inflammatory responses of any type. An initial assessment to identify the laboratory findings most commonly associated with the cytokine storm syndromes include a complete blood count, serum levels of ferritin, liver function tests, and others. These tests are readily available in the majority of health care facilities.

We studied, at the first days of hospital admission, a series of SARS-CoV-2 infected patients and we observed a strong correlation between serum ferritin and overall mortality, independently of age. A worse prognosis frequently is associated with a more rapid evolution to intensive and respiratory care or even dialysis. This is a concise laboratory-based report, which sought to answer whether we are able to identify any relevant role for risk prognosis using complementary tests routinely requested in positive SARS-CoV-2 hospitalized patients. The magnitude of the inflammation the patient

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Table 3 – Analysis of independent predictors of mortality in COVID-19 hospitalized patients using a logistic regression model.							
Parameters	Wald	В	SE	Odds ratio	95%	95% CI	
					Lower	Upper	
Age $\geq$ 60 years	7.038	2.350	0.886	10.490	1.848	59.555	0.008
$Ferritin \geq 1873ng/mL$	5.756	1.798	0.750	6.040	1.390	26.253	0.016
CI, confidence interval; B,	coefficient; SE, s	tandard error.					

already has at admission when the RT-PCR for SARS-CoV-2 is
performed, seems to have a prognostic value.

There is increasing evidence that circulating ferritin levels 240 may not only reflect an acute phase response but may play 241 a critical role in inflammation.<sup>11</sup> For most clinicians dealing 242 with inflammatory diseases, serum ferritin levels are a rather 243 non-specific marker of the acute phase response, which is 244 often ignored or not measured when the patient is acutely 245 ill. In some diseases, ferritin levels may be extremely high 246 and, while not specific, these very high levels may be help-247 ful in identifying patients at risk.<sup>12</sup> In some previous studies, 248 ferritin levels were more elevated in older and/or hyperten-249 sive participants, which was also associated with increased 250 mortality.13 251

We emphasize that the profile of COVID-19 patients in our 252 hospital is different from the general population, as they have 253 advanced age, cardiovascular disease and diabetes, all corre-254 lated with higher mortality. We are a reference center for high 255 complexity cases in our region. We highlight that 48.6% of our 256 population had onco-hematological diseases and 22.0% had 257 chronic kidney disease. A very relevant point in this study is 258 the searching for a complementary routine exam that could 259 be used as an independent predictor of mortality at the time 260 of hospital admission. 261

In our study, CMV viral load at admission was not sig-262 nificantly correlated with final outcomes. CMV reactivation 263 and risk for CMV disease possibly related with a subjacent 264 immunosuppressive status may occur as in systemic lupus 265 erythematous or in organ transplant<sup>14,15</sup>; however, charac-266 teristics of latency and reactivation of the herpes family 267 should be better monitored in the critically ill COVID-19 268 patients. For example, many of the reported cases and series 269 of CMV-associated to cytokine storm syndromes involve viral 270 reactivation during immune suppressed states.<sup>16</sup> The rate of 271 CMV seroprevalence increases with age. CMV has been shown 272 to affect peripheral T cell phenotypes, increase inflammatory 273 mediated cytokines such as IL-6 and play a role in immune 274 dysregulation. The role of CMV in those with severe COVID-19 275 disease merits exploration.<sup>17</sup> 276

A study focusing on early hyperinflammation in COVID-277 19 patients evaluated the high levels of serum ferritin in 278 the first seven days of hospitalization as a predictor for the 279 cytokine storm syndrome,<sup>18</sup> and a meta-analysis including 280 21 studies (3377 patients and 33 laboratory parameters) also 281 demonstrated serum ferritin as a biomarker for potential pro-282 gression to critical illness.<sup>10</sup> Nevertheless, recent studies have 283 also demonstrated that anti-inflammatory biomarkers could 284 also be elevated during the acute phase of COVID-19.<sup>19,20</sup> This 285 highlights the importance of future studies focusing on the 286

balance between pro- and anti-inflammatory mediators and how this could impact on disease progression.

In conclusion, this study was designed to answer whether one could identify any relevant role for prognostic risk using routine complementary exams. The magnitude of the inflammation in the first days after hospitalization, represented by a hyperferritinemic syndrome, may help to identify patients at higher risk for early clinical decisions regarding the preventive measures directed at such patients.

### **Conflicts of interest**

The authors declare no conflicts of interest.

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